## JC10 Rec'd PCT/PTO 19 APR 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER T DESIGNATED/ELECTE	ATTORNEY'S DOCKET NUMBER 129402.00801					
CONCERNING A SUBMISS		U.S. APPLICATION NO. (#known, se 37 CFR 1.5) 10/5 31861				
INTERNATIONAL APPLICATION NO. PCT/US03/034826	INTERNATIONAL FILING DATE 31 October 2003	PRIORITY DATE CLAIMED 1 November 2002				
TITLE OF INVENTION  COMPOSITIONS AND METHODS FOR SIRNA IN	NHIBITION OF HIF-1a					
APPLICANT(S) FOR DO/EO/US THE TRUSTEES OF THE UNIVERSITY OF PENN	NSYLVANIA					
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US) the	following items and other information:				
1. This is a FTRST submission of items co	oncerning a submission under 35 U.S.C. 371.					
2.  This is a SECOND or SUBSEQUENT	submission of items concerning a submission	under 35 U.S.C. 371.				
3. ☐ This is an express request to begin natio (5), (6), (9) and (21) indicated below.	nal examination procedures (35 U.S.C. 371(f)	)). The submission must include items				
4.   ☐ The US has been elected (Article 31).						
<ul> <li>5.</li></ul>						
<ul> <li>6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>a. ☐ is attached hereto.</li> <li>b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>						
<ul> <li>7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>						
8.  An English language translation of the a	amendments to the claims under PCT Article 1	19 (35 U.S.C. 371(c)(3)).				
9. An oath or declaration of the inventor(s)	) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:						
11. An Information Disclosure Statement u	ander 37 CFR 1.97 and 1.98.					
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.						
14. An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.						
16. ☐ A power of attorney and/or change of address letter.						
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).						
20.  Other items or information:						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (an by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, and the process of Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page I of 2

## JC12 Rec'd PCT/PTC 1 9 APR 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION 10. (17 known, see 37 CF) 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER 129402.00801				
The following fees are submitted:					Applicant Use	Office use only	
21. ⊠ Basic national fee\$300						\$300.00	
22. 🛭 Examination fee					\$	<del></del>	
If the international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$500.00		
All other situations \$500  23. ⊠ Search fee					\$100.00	<del></del>	
Search fee (37 CFF			ernational application to				
International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500					<b>S</b>	]	
		TAL ŌF 21, 2				\$900.00	1
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
-100 =	/50 =				x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER I		NUMBER EXTRA		RATE	\$	
Total claims Independent clair		-20 = -3 =				\$	
						\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CALCULATIONS =					\$		
Applicant claims small entity status. See 37 1.27. The fees indicated above are reduced by 1/2.					\$450.00		
D	120.00 € € 111	F 11.1	1.1.1.20		SUBTOTAL =	\$	
	te (37 CFR 1.492(f)).	ie English tran	slation later than 30 mon	uns iro	m the earliest	s	
TOTAL NATIONAL FEE =					\$450.00		
	ne enclosed assignment over sheet (37 CFR 3.2		(h)). The assignment mu 00 per property	ist be a	ccompanied	\$	
			TOTA	L FEE	S ENCLOSED =	\$450.00	
			A	Amour	it to be refunded:		s
				Amou	nt to be charged:		s
a. 🛛 A check in th	ne amount of \$ <u>450.00</u> to	cover the abo	ve fees is enclosed.				•
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.							
<ul> <li>C.</li></ul>							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on t his form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to perive (32 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
SIGNATURE							
Pepper Hamilton LLP 500 Grant Street, 50th Floor Raymond A. Miller							
Pittsburgh, PA 15219					IAME		
Telephone: (412) 454-5000 Facsimile: (412) 281-0717 42.8							
REGISTRATION NUMBER							ER

FORM PTO-1390 (REV.12-2004)

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## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

APPLICANT:

REICH ET AL.

TITLE:

COMPOSITIONS AND METHODS FOR SIRNA INHIBITION

OF HIF-1α

SERIAL NO.:

Not Yet Assigned

ATTORNEY REF:

129402.00801

DATE OF DEPOSIT:

April 19, 2005

EXPRESS MAIL NO.

EV472065055US

I HEREBY CERTIFY THAT THIS PATENT APPLICATION IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE VIA UNITED STATES POST OFFICE EXPRESS MAIL UNDER 37 C.F.R. § 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS, MAIL STOP PCT, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Jennifer Mart	inez				
(Typed or prin	nted name	e of person m	ailing pa	per or fee)	
				_	

Signature of/person mailing paper or fee

Documents Sent:

☐ Transmittal Letter to US/RO/DO concerning a filing under 35 U.S.C. 371 (in duplicate)

Formal Drawings (--- sheets)

Declaration and Power of Attorney

☐ Check in the amount of \$450.00

Applicant Data Sheet

☐ Sequence Listing (CD and Paper) and Statement